

QUERY CONTROL FORM		RTIS USE ONLY	
Application No. <u>10/007,630</u>	Prepared by <u>BSH</u>	Tracking Number <u>05937776</u>	
Examiner-GAU <u>KIM-2871</u>	Date <u>5/20/04</u>	Week Date <u>04-19-2004</u>	
No. of queries <u>2</u>			

JACKET

a. Serial No.	f. Foreign Priority	k. Print Claim(s)	p. PTO-1449
b. Applicant(s)	g. Disclaimer	l. Print Fig.	q. PTOL-85b
c. Continuing Data	h. Microfiche Appendix	m. Searched Column	r. Abstract
d. PCT	i. Title	n. PTO-270/328	s. Sheets/Figs
e. Domestic Priority	j. Claims Allowed	o. PTO-892	t. Other

SPECIFICATION

- a. Page Missing
- b. Text Continuity
- c. Holes through Data
- d. Other Missing Text
- e. Illegible Text
- f. Duplicate Text
- g. Brief Description
- h. Sequence Listing
- i. Appendix
- j. Amendments
- k. Other

CLAIMS

- a. Claim(s) Missing
- b. Improper Dependency
- c. Duplicate Numbers
- d. Incorrect Numbering
- e. Index Disagrees
- f. Punctuation
- g. Amendments
- h. Bracketing
- i. Missing Text
- j. Duplicate Text
- k. Other

MESSAGE

① PTO-1449: Please either initial or line through citations. Copy provided for reference.

② Inventors # 1 and 2 are not listed on Oath Please Supply/Advise. Copy provided for reference.

Thank you

initials BSH

RESPONSE

initials

Substitute Form PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark OfficeAttorney's Docket No.
06618-736001Application No.
UnknownInformation Disclosure Statement
by Applicant
(Use several sheets if necessary)Applicant
Ming Cai and Kerry J. VahalaFiling Date
Herewith

Group Art Unit:

J 997 U.S. PTO
10/007630

11/09/01

(37 CFR §1.98(b))

U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	Knight, et al., "Optics Letters", Vol. 22 pp.1129-1131 (1997)
	AR	Cai et al., "Fiber-Optic Add-Drop Device Based on A Silica Microsphere-Whispering Gallery Mode System", IEEE Photon. Technol. Lett., Vol. 11(6), pp. 686-687 (1999)
	AS	
	AT	

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)